

JC903 U.S. PTO
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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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JC903 U.S. PTO
09/07/2145

06/01/01

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))Attorney Docket No. 002879.P049
(maximum 12 characters)First Named Inventor Douglas McKnight, et al.Title: CHANNEL TO CONTROL SEAL WIDTH IN OPTICAL DEVICESExpress Mail Label No. EL6272746408USADDRESS TO: **Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 27)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 6)**
5. **Oath or Declaration (Total Pages 5)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
- b. Copies of IDS Citations
13. Preliminary Amendment
14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. X Other: Copy of return receipt postcard with express number

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: _____ Examiner _____ Group Art Unit _____
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
(Insert Customer No. or Attach Bar Code Label here)
or

X Correspondence Address Below

NAME James C. Scheller, Jr.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): James C. Scheller, Jr. Registration No.: 31,195

Signature: J. Scheller Date: 5/17/2001

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)First Named Inventor Douglas McKnight, et al.Title CHANNEL TO CONTROL SEAL WIDTH IN OPTICAL DEVICESAttorney Docket No. 002879.P049

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

6/1/2001

Date



Signature

James C. Scheller, Jr.

Typed or Printed Name

31,195

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(ii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

jc903 U.S. PTO
09/07/2014



EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672746408US

Date of Deposit: 06/01/2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

David M. Pearson
(Typed or printed name of person mailing paper or fee)

6/1/2001
(Signature of person mailing paper or fee)

6/1/2001
(Date signed)

EL672746408US

Serial/Patent No: Not Yet Assigned Filing/Issue Date: June 14, 2001

Client: Colorado Micro Display

Title: CHANNEL TO CHANNEL SEAL WIDTH IN OPTICAL DEVICES

BSTZ File No: 002879-0045 Atty/Secty Initials: JCS/MSP/mmp
Date Mailed: June 14, 2001 Docket Due Date: 6/14/01

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (10 pages) Express Mail No: EL672746408US Check No: 3225
 Appeal Brief (5 pages) Month(s) Extension of Time: 1 Am: 3144.00
 Application- Utility (27 pages, with cover and sheets) Minutes Delivery Statement & PTO WFO (1 page) Check No: _____
 Application- Rule 1.53(b) Continuation (1 page) Issue Fee Transmittal (1 page) Am: _____
 Application- Rule 1.53(b) Divisional (1 page) Notice of Appeal (1 page) _____
 Application- Rule 1.53(b) CIP (1 page) Position for Extension of Time (1 page) _____
 Application- Rule 1.53(b) CPA Transmittal (1 page) Position for _____ (1 page) _____
 Application - Design (1 page) Power of Attorney (1 page) _____
 Application - PCT (1 page) Preliminary Amendment (1 page) _____
 Application - Provisional (1 page) Reply Brief (1 page) _____
 Assignment and Cover Sheet (1 page) Response to Notice of Missing Filing Paper (1 page) _____
 Certificate of Mailing (1 page) Small Entity Declaration for Indep. Inventor/Small Business (1 page) _____
 Declaration & POA (1 page) (unsigned) Transmittal Letter, in duplicate (1 page) _____
 Endorse Doc & Obj & Copy/Check Sheet (1 page) Fee Transmittal, in duplicate (1 page) _____
 Drawings (6 sheets include (6 figures) _____
 Other: Copy of postcard with express mail label _____

FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) 3144.00

Complete if Known:

Application No. Unknown

Filing Date June 1, 2001

First Named Inventor Douglas McKnight, et al.

First Name Inventor:

Examiner Name Unknown

Attorney Docket No. 002879 P049

METHOD OF PAYMENT (check one)

1. [] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

[] Applicant claims small entity status. See 37 CFR 1.27

2. **Payment Enclosed:** **Check**
 Credit Card
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
101	710	201	355	Utility application filing fee	<u>710.00</u>
106	320	206	160	Design application filing fee	<u> </u>
107	490	207	245	Plant filing fee	<u> </u>
108	710	208	355	Reissue filing fee	<u> </u>
114	150	214	75	Provisional application filing fee	<u> </u>

SUBTOTAL (1) \$ 710.00

2. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>below</u>	<u>Fee Paid</u>
Total Claims <u>94</u>	<u>- 20** = 74</u>	<u>X 18</u>	<u>= 1332.00</u>
Independent Claims <u>9</u>	<u>- 3** = 6</u>	<u>X 80</u>	<u>= 480.00</u>
Multiple Dependent			

****Or number previously paid, if greater; For Reissues, see below.**

<u>Large Entity</u>		<u>Small Entity</u>		
Fee	Fee	Fee	Fee	<u>Fee Description</u>
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 1812.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
195	300	195	300
196	300	196	300
194	130	194	130
098	130	098	130
091	1,240	091	1,240
Acceptance of unintentionally delayed claim for priority			

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: James C. Scheller

Signature: James C. Scheller Date: 6/1/2001

Reg. Number: 31,195 Telephone Number: (408) 720-8300

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.